

WOLVERHAMPTON CCG
GOVERNING BODY
12th July 2016
Agenda item 17

Title of Report:	Summary – Wolverhampton Clinical Commissioning Group(WCCG) Audit and Governance Committee (AGC)- 24th May 2016
Report of:	Jim Oatridge – Chair, Audit and Governance Committee
Contact:	Claire Skidmore – Chief Finance and Operating Officer
Governing Body Action Required:	<input type="checkbox"/> Decision <input checked="" type="checkbox"/> Assurance
Purpose of Report:	<ul style="list-style-type: none"> To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.
Public or Private:	This Report is intended for the public domain.
Relevance to CCG Priority:	The AGC delivers its remit in the context of the CCG's priorities in order to provide assurance to the Governing Body of the robustness of system and process.
Relevance to Board Assurance Framework (BAF):	
<ul style="list-style-type: none"> Domain 1: A Well Led Organisation 	<p>The AGC is accountable to the group's governing body and its remit is to provide the governing body with an independent and objective view of the group's systems, information and compliance with laws, regulations and directions governing the group. It will deliver this remit in the context of the group's priorities, as they emerge and develop, and the risks associated with achieving them.</p> <p>The AGC shall critically review the group's financial reporting and internal control principles and ensure that an appropriate relationship with both internal</p>



	and external auditors is maintained.
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1. BACKGROUND AND CURRENT SITUATION

1.1 Chief Internal Auditors Opinion

The Committee noted that all planned internal audit work had been completed. The report had been presented to the Committee in April and the Chief Internal Auditor was able to give an overall **Significant Assurance opinion**.

1.2 2015/16 Report to those charged with Governance (ISA260)

The Committee received an update from Ernst and Young, the CCG's External Auditors and it was expected that they would

- Issue an unqualified opinion on the financial statements
- Issue an unqualified opinion on the regularity of income and expenditure; and
- Confirm that the figures reported in the final audited statutory financial statements agreed to the figures reported in the summarisation schedules/accounts template
- In respect of Value for Money it is anticipated that there will be no matters to report about the CCG's arrangements to secure economy, efficiency and effectiveness in the use of resources.

During the audit a misstatement had been identified which was discussed with the Chief Finance and Operating Officer (CFOO) as part of the process. The CFOO's opinion was that the suggested amendment should not be made as doing so would increase the risk of error in the accounts and the amount identified was not material. The External Auditors brought this to the Committee to seek its approval for this approach. After discussion the Committee supported the management response.

1.3 CCG Annual Report, Accounts and Governance Statement

The Committee considered the CCG's Annual Report, Accounts and Governance Statement. This is a statutory document which had to be produced as one document.

The Committee recommend to the Governing Body that the documentation should be signed off.

1.4 Management Representation Letter

The Committee noted the content of the Management Representation Letter and the statements by the CFOO and Chief Officer that they would be happy to sign this off.



2. KEY RISKS AND IMPLICATIONS

2.1 Not applicable to this paper.

3. RECOMMENDATIONS

The Governing Body of Wolverhampton CCG is asked to:

- **Receive** this report and **note** the actions taken by the Audit and Governance Committee
- **Note** the successful submission of the CCG's key documents and their publication on the CCG's website further to the Governing Body signing off the accounts on 24th May 2016.

Name: Claire Skidmore
Job Title: Chief Finance and Operating Officer
Date: 25th May 2016

